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Applicant(s):	P. Ham et al.	Examiner	2167
Serial No.	09/872,054	Group Art Unit	Debbie M. Le
Filed	May 31, 2001	Docket No.	STL920000057US1
TITLE	METHOD, SYSTEM, AND COMPUTER PROGRAM FOR PROVIDING AN EXTENSIBLE FILE SYSTEM FOR ACCESSING A FOREIGN FILE SYSTEM FROM A LOCAL DATA PROCESSING SYSTEM		

POWER OF ATTORNEY AND  
CORRESPONDENCE ADDRESS INDICATION FORM

I hereby revoke all previous powers of attorney given in the above-identified application.

I hereby appoint the Practitioners associated with the Customer Number:

**47069**

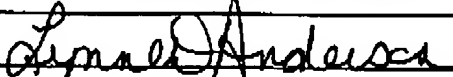
as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith.

Please recognize or change the correspondence address for the above-identified application to the address associated with the above-mentioned Customer Number.

I am the:

- ☐ Applicant/Inventor
- ☒ Assignee of record of the entire interest. (Statement under 37 CFR 3.73(b) is enclosed)

SIGNATURE of Applicant or Assignee of Record

Signature:		Date:	2-25-05
Name	Lynne D. Anderson	Title	Program Manager - U.S. Patent and Trademark Office Liaison
Company	International Business Machines Corporation		
Note: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below*.			
<input type="checkbox"/> *Total of _____ forms are submitted.			

**STATEMENT UNDER 37 CFR 3.73(b)**Applicant/Patent Owner: International Business Machines CorporationApplication No./Patent No.: 09/872,054Filed/Issue Date: May 31, 2001

Entitled: METHOD, SYSTEM, AND COMPUTER PROGRAM FOR PROVIDING AN EXTENSIBLE FILE SYSTEM FOR ACCESSING A FOREIGN FILE SYSTEM FROM A LOCAL DATA PROCESSING SYSTEM

International Business Machines CorporationCorporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.  
The extent (by percentage) of its ownership interest is \_\_\_\_\_ %

in the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 012221, Frame 0780, or for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: \_\_\_\_\_ To: \_\_\_\_\_  
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☐ Additional documents in the chain of title are listed on a supplemental sheet.

- ☒ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to: Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Signature:	<i>Lynne D. Anderson</i>	Date:	<i>2-25-05</i>
Name	Lynne D. Anderson	Telephone Number	703-299-1455
Title	Program Manager - U.S. Patent and Trademark Office Liaison		



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DECEMBER 07, 2001

PTAS

Under Secretary of Commerce For Intellectual Property and  
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IBM CORPORATION  
PRENTISS WAYNE JOHNSON  
555 BAILEY AVENUE  
SAN JOSE, CA 95141-1003



9WJ 5T920000057

\*101868015A\*

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RECORDATION DATE: 10/01/2001

REEL/FRAME: 012221/0780  
NUMBER OF PAGES: 15

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

HAM, JUNGKYO PAMELA

DOC DATE: 09/14/2001

ASSIGNOR:

HAWKS, BRENT CECIL

DOC DATE: 09/27/2001

ASSIGNOR:

MARTIN, SEAN JAMES

DOC DATE: 09/04/2001

ASSIGNOR:

MATSA, MOSHE MORRIS EMANUEL

DOC DATE: 09/25/2001

ASSIGNOR:

MAZO, GARY I.

DOC DATE: 09/13/2001

ASSIGNOR:

NICHOLLS, PETER

DOC DATE: 09/27/2001

ASSIGNOR:

SHEFTMAN, IRA L.

DOC DATE: 09/13/2001

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ASSIGNOR:  
WELLS, JAMES PANGBORN

DOC DATE: 09/13/2001

ASSIGNOR:  
WOAN, RONALD SO-TSE

DOC DATE: 09/12/2001

ASSIGNEE:  
INTERNATIONAL BUSINESS MACHINES  
CORPORATION  
NEW ORCHARD ROAD  
ARMONK, NEW YORK 10504

SERIAL NUMBER: 09872054  
PATENT NUMBER:

FILING DATE: 05/31/2001  
ISSUE DATE:

JEEVON JONES, EXAMINER  
ASSIGNMENT DIVISION  
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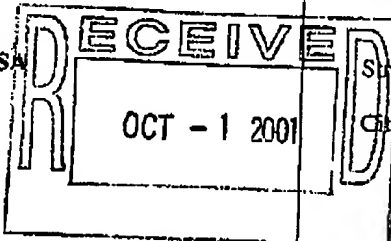
Jungkyoo Pamela HAM  
Brent Cecil HAWKS  
Sean James MARTIN  
Moshe Morris Emanuel MATSA  
Gary I. MAZO  
Peter NICHOLLS  
Ira L. SHEFTMAN  
James Pangborn WELLS  
Ronald So-tse WOAN

## 2. Name and address of receiving party(ies):

Name: International Business Machines  
Corporation

Street: New Orchard Road

City: Armonk State: NY ZIP: 10504

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ NoAdditional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

## 3. Nature of conveyance:

☒ Assignment

Execution Date(s):

9/14/01; 9/27/01; 9/4/01; 9/25/01;  
9/13/01; 9/27/01; 9/13/01; 9/13/01;  
9/12/01

## 4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No(s): 09/872,054

B. Patent No.(s):

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: IBM Corporation

Internal Address: Intellectual Property Law, I46/G4

Street Address: 555 Bailey Avenue

City: San Jose State: CA ZIP: 95141-1003

## 6. Total number of applications and patents involved: ONE

## 7. Total fee (37 CFR 3.41).....\$40.00

☐ Enclosed☒ Authorized to be charged to deposit account

## 8. Deposit Account Number: 09-0460

10/09/2001 BTOM11 09000164 090460 09872054

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## 9. Statement and signature:

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Attorney/Agent:

*Prentiss Wayne Johnson*  
Prentiss Wayne Johnson, Reg.No. 33,123

Date:

9/28/2001

Total number of pages including cover sheet, attachment(s), and document:

15

Docket No: STL920000057051  
Page 1 of 2

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## ASSIGNMENT

Whereas I/we

INVENTOR/CITY  
COUNTY/STATE

(1) Jungkyoo Pamela Ham	City of San Jose
County of Santa Clara	State of California
(2) Brent Cecil Hawks	City of Hollister
County of San Benito	State of California
(3) Sean James Martin	City of Boston
County of Suffolk	State of Massachusetts
(4) Moshe Morris Emanuel Matsa	City of Cambridge
County of Middlesex	State of Massachusetts
(5) Gary I. Mazo	City of San Jose
County of Santa Clara	State of California
(6) Peter Nicholls	City of Scarborough
Province of Ontario	Country of Canada
(7) Ira L. Sheftman	City of San Jose
County of Santa Clara	State of California
(8) James Pangborn Wells	City of Boston
County of Suffolk	State of Massachusetts
(9) Ronald So-tse Woan	City of Austin
County of Travis	State of Texas

have invented certain improvements in

TITLE

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LOCAL DATA PROCESSING SYSTEM**

and executed, respectively, a United States patent application therefor on the following date(s):

DATE(S) THAT  
INVENTOR(S)  
SIGNED THE  
DECLARATION

(1) <u>8/21/2001</u>	(2) <u>8/14/2001</u>
(3) <u>9/4/2001</u>	(4) <u>9/4/2001</u>
(5) <u>8/14/2001</u>	(6) <u>8/20/2001</u>
(7) <u>8/14/2001</u>	(8) <u>8/22/2001</u>
(9) <u>8/20/2001</u>	

Whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York 10504, (hereinafter called IBM), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefor:

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, I, the above named, hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States

Docket No: STL920000057051  
Page 2 of 2

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application, and I request the Commissioner of Patents to issue any Letters Patent granted upon the inventions set forth in said application to IBM, its successors and assigns; and I hereby agree that IBM may apply for foreign Letters Patent on said invention and I will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

Signed:

CITY  
DATE(1) at San Jose  
on 9/14/2001Jungkyoo Pamela Ham  
Jungkyoo Pamela HamCITY  
DATE(2) at \_\_\_\_\_  
on \_\_\_\_\_

Brent Cecil Hawks

CITY  
DATE(3) at \_\_\_\_\_  
on \_\_\_\_\_

Sean James Martin

CITY  
DATE(4) at \_\_\_\_\_  
on \_\_\_\_\_

Moshe Morris Emanuel Matsa

CITY  
DATE(5) at SAN JOSE  
on 9/13/2001Gary I. Mazo  
Gary I. MazoCITY  
DATE(6) at \_\_\_\_\_  
on \_\_\_\_\_

Peter Nicholls

CITY  
DATE(7) at SAN JOSE  
on 9/13/2001Ira L. Sheftman  
Ira L. SheftmanCITY  
DATE(8) at \_\_\_\_\_  
on \_\_\_\_\_

James Pangborn Wells

CITY  
DATE(9) at \_\_\_\_\_  
on \_\_\_\_\_

Ronald So-tse Woan

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408 453 3527 TO 918316365283

P. 02/03  
**COPY**Docket No: STL920000057082  
Page 1 of 2**ASSIGNMENT**

Whereas I/we

INVENTOR/CITY  
COUNTY/STATE

(1) Jungkyoo Pamela Ham  
County of Santa Clara  
(2) Brent Cecil Hawke  
County of San Benito  
(3) Sean James Martin  
County of Suffolk  
(4) Moshe Morris Emmanuel Matsa  
County of Middlesex  
(5) Gary L. Maza  
County of Santa Clara  
(6) Peter Nicholls  
Province of Ontario  
(7) Ira L. Sheftman  
County of Santa Clara  
(8) James Pungborn Wells  
County of Suffolk  
(9) Ronald So-tse Woon  
County of Travis

City of San Jose  
State of California  
City of Hollister  
State of California  
City of Boston  
State of Massachusetts  
City of Cambridge  
State of Massachusetts  
City of San Jose  
State of California  
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Docket No: 87L930000057081  
Page 2 of 2

## ASSIGNMENT CONTINUED

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Signed:

CITY  
DATE(1) at \_\_\_\_\_  
on \_\_\_\_\_

Jungkyou Pamela Ham

CITY  
DATE(2) at Hollister, CA  
on 27 Sep 2001

Brent Cecil Hawks

CITY  
DATE(3) at \_\_\_\_\_  
on \_\_\_\_\_

Sean James Martin

CITY  
DATE(4) at \_\_\_\_\_  
on \_\_\_\_\_

Moshe Morris Emanuel Matsa

CITY  
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CITY  
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CITY  
DATE(7) at \_\_\_\_\_  
on \_\_\_\_\_

Ira L. Sheftman

CITY  
DATE(8) at \_\_\_\_\_  
on \_\_\_\_\_

James Pangborn Wells

CITY  
DATE(9) at \_\_\_\_\_  
on \_\_\_\_\_

Ronald So-tse Woon

\*\*\* TOTAL PAGE.03 \*\*\*

Docket No: **STL9200000570S1**  
 Page 1 of 2

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## **ASSIGNMENT**

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INVENTOR/CITY  
COUNTY/STATE

(1) Jungkyoo Pamela Ham	City of San Jose
County of Santa Clara	State of California
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Page 2 of 2

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## ASSIGNMENT CONTINUED

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Signed:

CITY  
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on \_\_\_\_\_

Moshe Morris Emanuel Matsa

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on \_\_\_\_\_

Gary I. Mazo

CITY  
DATE(6) at Markham Ontario  
on 9/27/01Peter Nicholls  
Peter NichollsCITY  
DATE(7) at \_\_\_\_\_  
on \_\_\_\_\_

Ira L. Sheftman

CITY  
DATE(8) at \_\_\_\_\_  
on \_\_\_\_\_

James Pangborn Wells

CITY  
DATE(9) at \_\_\_\_\_  
on \_\_\_\_\_

Ronald So-tse Woan

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**ASSIGNMENT**

Whereas I/we

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COUNTY/STATE

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## ASSIGNMENT CONTINUED

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Signed:

CITY DATE	(1) at _____ on _____	_____
		Jungkyoo Pamela Ham
CITY DATE	(2) at _____ on _____	_____
		Brent Cecil Hawks
CITY DATE	(3) at _____ on _____	_____
		Sean James Martin
CITY DATE	(4) at <u>CAMBRIDGE, MA</u> on <u>SEPTEMBER 25, 2001</u>	<u>Moshe Morris Emanuel Marsa</u> Moshe Morris Emanuel Marsa
CITY DATE	(5) at _____ on _____	_____
		Gary I. Mazo
CITY DATE	(6) at _____ on _____	_____
		Peter Nicholls
CITY DATE	(7) at _____ on _____	_____
		Ira L. Sheftman
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		James Pangborn Wells
CITY DATE	(9) at _____ on _____	_____
		Ronald So-tse Woan

Docket No. STL920000057051  
Page 1 of 2

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## ASSIGNMENT

Whereas I/we

INVENTOR/CITY  
COUNTY/STATE

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Docket No: STL920000057081  
Page 2 of 2

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on \_\_\_\_\_

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CITY  
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Brent Cecil Hawks

CITY  
DATE(3) at \_\_\_\_\_  
on \_\_\_\_\_

Sean James Martin

CITY  
DATE(4) at \_\_\_\_\_  
on \_\_\_\_\_

Moshe Morris Emanuel Matsa

CITY  
DATE(5) at \_\_\_\_\_  
on \_\_\_\_\_

Gary I. Mazo

CITY  
DATE(6) at \_\_\_\_\_  
on \_\_\_\_\_

Peter Nicholls

CITY  
DATE(7) at \_\_\_\_\_  
on \_\_\_\_\_

Ira L. Sheftman

CITY  
DATE(8) at \_\_\_\_\_  
on \_\_\_\_\_

James Pangborn Wells

CITY  
DATE(9) at Austin, TX  
on 9/12/01Ronald So-tse Woan  
Ronald So-tse Woan

Docket No: STL920000057 US1  
Page 1 of 2

**COPY**

## ASSIGNMENT

Whereas I/we

INVENTOR/CITY  
COUNTY/STATE

(1) Jungkyoo Pamela Ham	City of San Jose
County of Santa Clara	State of California
(2) Brent Cecil Hawks	City of Hollister
County of San Benito	State of California
(3) Sean James Martin	City of Boston
County of Suffolk	State of Massachusetts
(4) Moshe Morris Emanuel Matsa	City of Cambridge
County of Middlesex	State of Massachusetts
(5) Gary L. Mazo	City of San Jose
County of Santa Clara	State of California
(6) Peter Nicholls	City of Scarborough
Province of Ontario	Country of Canada
(7) Ira L. Sheftman	City of San Jose
County of Santa Clara	State of California
(8) James Pangborn Wells	City of Boston
County of Suffolk	State of Massachusetts
(9) Ronald So-tse Woan	City of Austin
County of Travis	State of Texas

have invented certain improvements in

TITLE

**METHOD, SYSTEM, AND COMPUTER PROGRAM PRODUCT FOR PROVIDING AN EXTENSIBLE FILE SYSTEM FOR ACCESSING A FOREIGN FILE SYSTEM FROM A LOCAL DATA PROCESSING SYSTEM**

and executed, respectively, a United States patent application therefor on the following date(s):

DATE(S) THAT  
INVENTOR(S)  
SIGNED THE  
DECLARATION

(1) <u>8/21/2001</u>	(2) <u>8/14/2001</u>
(3) <u>9/4/2001</u>	(4) <u>9/4/2001</u>
(5) <u>8/14/2001</u>	(6) <u>8/20/2001</u>
(7) <u>8/14/2001</u>	(8) <u>8/22/2001</u>
(9) <u>8/20/2001</u>	

Whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York 10504, (hereinafter called IBM), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefor;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, I, the above named, hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States



Docket No: STL920000057US  
Page 2 of 2

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## ASSIGNMENT CONTINUED

application, and I request the Commissioner of Patents to issue any Letters Patent granted upon the inventions set forth in said application to IBM, its successors and assigns; and I hereby agree that IBM may apply for foreign Letters Patent on said invention and I will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

Signed:

CITY  
DATE(1) at \_\_\_\_\_  
on \_\_\_\_\_

Jungkyoo Pamela Ham

CITY  
DATE(2) at \_\_\_\_\_  
on \_\_\_\_\_

Brent Cecil Hawks

CITY  
DATE(3) at \_\_\_\_\_  
on \_\_\_\_\_

Sean James Martin

CITY  
DATE(4) at \_\_\_\_\_  
on \_\_\_\_\_

Moshe Morris Emanuel Matsa

CITY  
DATE(5) at \_\_\_\_\_  
on \_\_\_\_\_

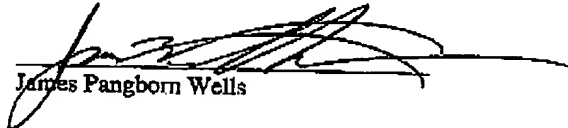
Gary I. Mazo

CITY  
DATE(6) at \_\_\_\_\_  
on \_\_\_\_\_

Peter Nicholls

CITY  
DATE(7) at \_\_\_\_\_  
on \_\_\_\_\_

Ira L. Sheftman

CITY  
DATE(8) at Boston  
on September 13, 2001  
James Pangborn WellsCITY  
DATE(9) at \_\_\_\_\_  
on \_\_\_\_\_

Ronald So-tse Woan

Docket No: STL920000057US2  
Page 1 of 2

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## ASSIGNMENT

Whereas I/we

INVENTOR/CITY  
COUNTY/STATE

(1) Jungkyoo Pamela Ham	City of San Jose
County of Santa Clara	State of California
(2) Brent Cecil Hawks	City of Hollister
County of San Benito	State of California
(3) Sean James Martin	City of Boston
County of Suffolk	State of Massachusetts
(4) Moshe Morris Emanuel Matsa	City of Cambridge
County of Middlesex	State of Massachusetts
(5) Gary I. Mazo	City of San Jose
County of Santa Clara	State of California
(6) Peter Nicholls	City of Scarborough
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(7) Ira L. Sheftman	City of San Jose
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(9) <u>8/20/2001</u>	

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Docket No: STL920000057  
Page 2 of 2

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## ASSIGNMENT CONTINUED

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Signed:

CITY DATE	(1) at _____ on _____	Jungkyoo Pamela Ham
CITY DATE	(2) at _____ on _____	Brent Cecil Hawks
CITY DATE	(3) at <u>Cambridge</u> on <u>9/4/2004</u>	<u>Sean James Martin</u> Sean James Martin
CITY DATE	(4) at _____ on _____	Moshe Morris Emanuel Matsa
CITY DATE	(5) at _____ on _____	Gary I. Mazo
CITY DATE	(6) at _____ on _____	Peter Nicholls
CITY DATE	(7) at _____ on _____	Ira L. Sheftman
CITY DATE	(8) at _____ on _____	James Pangborn Wells
CITY DATE	(9) at _____ on _____	Ronald So-tse Woan